

Conservation Applied Research  
& Development (CARD) Program

FINAL REPORT



## Demand Response and Snapback Impact Study

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AUGUST 2013  
COMM/OES-04042011-40697

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**ACKNOWLEDGEMENTS**

This project was supported in part (or in whole) by a grant from the Minnesota Department of Commerce, Division of Energy Resources through the Conservation Applied Research and Development (CARD) program, which is funded by Minnesota ratepayers.

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## Executive Summary

Demand response events are used by utilities to reduce system-wide demand on peak load days, typically summer weekday afternoons. There are many ways to reduce this peak demand by controlling equipment at customer facilities. These methods include cycling air conditioners, disabling water heaters, utilizing thermal energy storage, and simply curtailing service to customers with backup capacity. Many of these methods move the customer's equipment away from its standard operating set points during the demand response event, leading to snapback. Snapback is the increase in energy and demand in the hours immediately following a demand response event.

This study investigated these methods of demand response in order to determine their net energy and demand impacts, including snapback effects. The study utilized three methods of investigation: research on previous studies related to demand response, gathering and analyzing aggregate system load and demand response data from two large Minnesota utilities during demand control days, and using energy modeling to analyze various demand response controls applied to typical residential and small commercial buildings. The analysis in this study focused entirely on facilities and utilities located in Minnesota and used weather data from three Minnesota climates. It found consistency between the actual utility data for demand response events, published research from other states, and modeling results.

The technologies used for demand response that exhibit snapback are: air conditioner cycling, water heater curtailment, and electric heating cycling. Other technologies that are often used do not have snapback effects due to the nature of their operations. These include ice storage, electric heating thermal storage, and on-site generation.

The results of this analysis produced deemed energy and demand savings values for: demand response and snapback for entire utilities, residential air conditioner cycling, water heater curtailment (in both winter and summer peaks), electric heat cycling, and electric heating thermal storage, as well as commercial packaged rooftop unit ice storage. These deemed savings values are intended to be used as estimates for utilities to determine the energy and demand impacts of demand response technologies.

The results of this study show that although most demand response events produce significant snapback, there is still a net energy savings. The snapback and normalized energy savings results per demand response event are shown for each utility in Table 1. The source and customer energy savings per demand

response event have been normalized by load relief and load control capacity to make the results more meaningful to other utilities. The results for the two utilities differ due to differences in their generation mix (which affects source savings), customer mix (commercial and industrial customers produce the largest load relief), the number of customers enrolled in the demand response program, and other factors. These differences are detailed in Table 2.

**TABLE 1 – UTILITY DEMAND RESPONSE ANALYSIS RESULTS PER DEMAND RESPONSE EVENT**

Description	IOU	G&T Co-op	Units
Load Relief for Event	454	184	MW
Net Source Savings for Event	46,659	19,507	MMBTU
Net Source Savings for Event/MW Control Capacity	50.55	41.5	MMBTU/MW
Net MWh/MW Load Control Capacity	3.7	2.85	MWh/MW
Net Energy Saved	3,417	1,339	MWh

**TABLE 2 - UTILITY CHARACTERISTICS**

Description	IOU	G&T Co-op
Weighted Average Generation Efficiency (WAGE)	33%	36%
Percent of Customers That Are Commercial/Industrial	11%	9%
Percent of Peak Load Enrolled in Demand Response Program	11%	23%

The results from the energy modeling of a typical Minnesota home are shown in Table 3 for the Minneapolis climate and the demand response technologies studied.

**TABLE 3 - RESIDENTIAL ENERGY MODELING RESULTS – MINNEAPOLIS (ZONE 3)**

Median Twin Cities Metro House (2,169 sq. ft.)				
	Net kWh Savings	kW Savings	Snapback kWh	Snapback Peak kW
A/C Cycling	0.71	0.30	0.72	0.34
Elec. Heat Cycling	3.11	1.42	5.49	1.97
DHW Curtail Summer	0.40	0.60	2.71	2.71
DHW Curtail Winter	0.09	0.84	2.03	2.03
Electric Thermal Storage	0.0	25.8	0.0	0.0

The small commercial building ice storage energy modeling results are summarized by the resulting deemed demand and energy savings algorithms below. These were derived based on the results of many simulation runs for varying building schedules and sizes.

$$Demand\ savings\ (kW) = 0.9393 \times tons - 0.5943$$

$$kWh = -82.454 \times tons - 1.0166 \times hours\ of\ operation\ per\ week - \frac{58,368}{tons^4}$$

## Introduction

The first intent of this study is to identify, characterize, and quantify the energy impacts of demand response events in Minnesota using aggregated data from two utilities. The second intent is to study, using energy modeling, the effects of air conditioner and electric heat cycling, domestic water heater curtailment, and electric thermal storage on single-family homes and the effects of ice storage on small commercial buildings. In order to meet electric demand on peak days (days where the electric system demand is at its highest, approaching the limits of the generating capacity of the grid), utilities initiate demand response events to temporarily interrupt service to customers who have volunteered to participate in the interruptible program. This reduces the system demand enough to prevent the utility from using its reserve capacity and/or starting up low-efficiency peaking power plants. These temporary service interruptions, or demand response events, can last anywhere from a few minutes to 12 hours, depending on system loads. For industrial customers, these interruptions often involve complete cessation of electrical service. For commercial and residential customers, they typically involve only cycling of primary cooling equipment, since this equipment is the primary demand driver on peak days, which usually occur in the summer months when the outdoor air temperature is high.

The most common cycling scheme is a 50% cycling control [1] [2] [3] (also called direct load control or DLC), where the air conditioning units being controlled will be allowed to operate 50% of the time and forced off 50% of the time during the event, usually in 15-minute increments. Other methods of control include programmable communicating thermostat set up (also known as PCT), where the utility increases the cooling set point temperature of a building during an event to reduce the air conditioner operation, and complete shut off of all air conditioning equipment. These same methods can also be applied to electric heating equipment. Domestic tank-type water heaters are almost always controlled using direct load control with curtailment (the heater is turned off completely during the event and the building must run off the stored heat in the tank).

Residential buildings will often encounter an increase in energy consumption and demand in the hours following a demand response event. This is called the “snapback” effect. This occurs because the space temperature in a home drifts up during a demand response event and once the event ends, the air conditioning system will try to return the space temperature back to its original set point. On a very warm day, the interior temperature could drift significantly from the set point and require significant cooling to return to the set point, leading to higher energy use than if the set point had been maintained during the event. Snapback effects also occur with heating and domestic hot water control. This study investigates snapback effects and includes them in the net demand response event energy savings.

The utility-wide effects of demand response were analyzed using data from two large Minnesota utilities, an investor-owned utility (IOU)<sup>1</sup> and a generation and transmission (G&T) cooperative utility. The data provided consists of hourly demand (in megawatts) for each of the days in a given year that had a demand response event. This data also included the results of each utility's regression modeling of the system demand in the absence of demand response to determine an estimate of the amount of load relief created by the demand response event.

To further understand the effects of demand response on the residential sector, an energy model of three sizes of typical single-family homes were used to determine the energy impacts of demand response on a single home. The air conditioner was cycled (50% of the event time, every 15 minutes) during a simulated demand response event lasting seven hours, from 1 pm to 8 pm, and the resulting energy impacts (before, during, and after the event) were recorded when compared to a simulation with no demand response event. A similar method was used to evaluate the impacts of disabling domestic water heaters in homes during a demand response event in both winter and summer peak periods. The use of electric thermal storage systems (or ETS) were analyzed and modeled as well, although the effects of these off-peak heating devices could not be integrated with an energy simulator and had to be modeled more simplistically.

The median home was modeled in each of Minnesota's three climate zones (defined in the Minnesota statewide Technical Reference Manual), represented by these three cities: Minneapolis (zone 3), Saint Cloud (zone 2), and Duluth (zone 1). These cities were selected to represent their climate zones by comparing the heating degree-days in the Technical Reference Manual to heating degree-days for various cities in each zone, with the closest available city (with reliable, class I weather data) representing each zone. Throughout this report, results for the Minneapolis simulations will be discussed, with the other results available in the Appendix. The Minneapolis climate zone (zone 3) represents the largest portion of the housing stock in the state. Additionally, an energy simulation was performed on several typical small commercial facilities to determine the net energy and demand impacts of thermal storage devices, namely ice storage, when demand response events are encountered, as well as their annual energy impacts.

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<sup>1</sup> Note regarding this data and utility: it is important to recognize that the electricity market has changed significantly since that time (2007) and data from more recent years would not have the same patterns or results. The recent recession decreased their electric loads, for both demand and energy sales to the extent that this utility has not used demand reduction programs in recent years. Their supplies have been sufficient for peak demand periods to allow customers to continue to operate without curtailment events.

## Previous Research on Demand Response Impacts

Several government entities, primarily in California, have previously studied demand response impacts. One prominent study [4] was completed in 2011 by the California Energy Commission (CEC) and involved the three investor-owned utilities in California. The study detailed the ex post load impact of aggregator demand response programs for these utilities. This study quantified the energy and demand savings for each utility's demand response programs. It is of note that the study did not combine results across utilities, "due to underlying differences in the number and timing of event days, the industry mix that is participating in each jurisdiction and other factors such as partial dispatch of resources." This study did not address so-called "snapback", or post-demand response event increases in demand and energy consumption due to increased HVAC loading, despite the fact that snapback (also called rebound) effects were evident in the data and charts provided in the study.

A Pacific Gas & Electric study [5] in 2008 focused on residential air conditioner demand response impacts using either programmable communicating thermostats (PCTs) or direct control switches on the air conditioning system. This study did quantify both demand response savings and snapback on a per-customer basis. It used a cooling load baseline model based on a regression analysis of air conditioning kW versus outdoor air temperature to determine what the hourly kW would have been if no demand response event had been triggered. The limitations of this study are that it only examined 578 homes and there were no actual control events during 2008, only a system test. Additionally, there were 18 tests on the sample homes without the control homes, and only a peak snapback effect from the data set, not an aggregate or average effect, was produced. The peak demand reduction was 0.86 kW per device with a peak snapback effect of 0.46 kW. This study also noted that higher snapback impacts occurred the day after the demand response event. A 2009 impact evaluation [6] of the demand control program for Xcel Energy in Minnesota showed 1.93 kWh saved per customer per event for air conditioner DLC, with 1.07 kW of net load relief for the system per customer per event.

Lawrence Berkeley Laboratory conducted an extensive study [3] of DLC for air conditioners and water heaters in the PJM<sup>2</sup> grid area (East Coast) to determine deemed savings values. They produced tables that demonstrate the deemed kW savings at 5 pm for air conditioners based on weather conditions, cycling scheme, and typical air conditioner size (in kW). Similarly, they developed tables for domestic water heaters, where the kW demand reduction is based on the season, day of the week, and hour of the day. The values of water heater demand savings range from 0.12 kW to 0.84 kW. The air conditioner savings range from 0.01 kW to 3.03 kW, with a heavy dependence on outdoor air conditions and % cycling. At 50% cycling and a weighted temperature and humidity index of 84°F, the tables predict 0.8 kW.

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<sup>2</sup> PJM is a regional transmission organization that controls the movement of wholesale electricity in the Eastern United States.



Two studies [7] [8] focused on using energy modeling software to model demand response events and compared the energy modeling results to metered energy use on the modeled buildings to determine the accuracy of these models. Both of these studies showed that energy models can produce a reasonably accurate depiction of the energy and demand impacts of demand response events on commercial and residential facilities. This report will utilize this fact to justify the use of energy modeling software to model demand response event effects in a typical home and small commercial facility.

Electric thermal storage (ETS) and domestic water heater control have also been studied for demand response impacts. A Bonneville Power Administration's study [9] showed the energy impacts for both winter domestic hot water and electric heating (thermostat control) control and summer air conditioning and domestic hot water (DHW) control. In the sample studied, the average winter demand savings during demand response events was 1.65 kW/participant, while the summer demand savings was 0.65 kW/participant. The summer data also showed that domestic hot water heater control alone produced 0.26 kW/participant in demand savings. This report also shows significant snapback after each event, though it is not addressed in detail and is not quantified generally, only on an individual event basis for each of the events of the year studied. This study included both electric resistance heating and heat pumps in its heating analysis, but did not separate the two. The method of heating control was thermostat set back. Off-peak electric thermal storage was not considered. Water heater control was not separated from heating control in the winter event data.

ETS involves using, most commonly, ceramic bricks in an insulated container with integrated electric heating coils to store large quantities of heat during off-peak hours when electric rates are low and then discharging the stored heat, usually with the aid of a fan, during on-peak hours. Researchers at Argonne National Laboratory [10] studied off-peak ETS and its potential in the early 1980s. This included a study of ETS systems installed in 45 homes in Vermont, along with control homes for comparison. The study concluded that room ETS units had a societal benefit-cost ratio of 3.4 and central units had a ratio of 5.6. These same researchers developed performance curves, sizing guidelines, and other information related to ETS systems that were used in ASHRAE handbooks [11].

The East Kentucky Power Cooperative's latest integrated resource plan [12] shows a societal benefit-cost ratio of 2.63 for ETS systems and a combined benefit-cost ratio of 1.67. Furthermore, the plan shows 2012 program participants (70) saving 0.4 MW of winter peak demand, for an average of 5.7 kW/participant and an *increase* in energy by 8 MWh, or 114 kWh/participant. This plan also has 6,500 participants saving 301 MWh of energy, 2 MW of peak winter demand, and 8.4 MW of summer peak demand in 2012 for water heater and air conditioner direct load control. This leads to 46 kWh of energy savings, 0.31 kW of winter demand savings, and 1.29 kW of summer demand savings per participant. Since the winter demand savings here is for domestic hot water only, this matches well with the 0.26 kW/participant seen in the Bonneville data.

Thermal energy storage encompasses all forms of storing thermal energy (heating or cooling potential) for use at a later time, when it is more advantageous or cost-effective. Ice storage is a common form of thermal energy storage used to reduce on-peak cooling energy consumption. On-peak energy (typically 1 pm - 8 pm on hot, summer weekdays) is the most expensive energy and almost always coincides with peak cooling loads. Therefore, shifting cooling loads to off peak hours is very desirable. Ice storage allows for this shift by using cooling equipment to freeze a large volume of water overnight, in situations where energy is inexpensive, available cooling capacity is large, and outdoor temperatures are low. This large block of ice is then used during peak hours to provide cooling, bypassing or assisting the chiller or packaged unit's compressor.

Ice storage in large commercial buildings using chilled water and chillers has been studied extensively, as evidenced by its inclusion in most popular energy modeling programs, such as eQuest [13] and EnergyPlus [14]. However, chilled water systems represent a minority [15] of the air conditioning units in the U.S., especially in small commercial buildings. The Department of Energy [15] uses packaged single zone systems as benchmark HVAC equipment in 9 out of 15 commercial building types (for buildings built after 1980) and in 10 out of 15 building types for older buildings. Packaged units provide cooling to 44% [16] of all commercial buildings with cooling, while central chillers provide cooling to only 3%.

Very little information exists about ice storage on these packaged systems. The largest source of information and equipment in this sector is Ice Energy. Ice Energy manufactures the Ice Bear system, which provides about 30 ton-hours [17] of ice storage for each unit and can be retrofit to existing rooftop units (RTU) by running refrigerant lines from the Ice Bear to the RTU and installing an additional evaporator coil in the RTU to transfer heat to and from the Ice Bear unit. Ice Energy performed a pilot study in Anaheim, California on a fire station [18]. The results show significant peak demand savings, but are inconclusive about the annual energy impacts of the Ice Bear units. Another report [19] issued by engineers at Ice Energy for ASHRAE concludes that the Ice Energy systems are "energy neutral or better." This report includes an evaluation of the Ice Bear technology for application in small commercial buildings for demand reduction. The net energy impacts will also be investigated in this study. The Ice Bear unit will be the focus of the ice storage analysis since, as mentioned above, packaged rooftop units represent the largest opportunity and impact the largest number of customers. Table 4 below summarizes the quantitative findings of the research described in this section.

TABLE 4 - SUMMARY OF PREVIOUS RESEARCH RESULTS

Study Name	DR Technology	Demand Reduction (kW)	Snapback (kW)	Energy Saved (kWh)
PG&E Residential Air Conditioner DR Impacts	PCT/DLC on A/C	0.86	0.46	Not Provided
2009 Impact Evaluation of Saver's Switch Program for Xcel Energy In Minnesota	DLC on A/C	1.07	Not Provided	1.93
LBL PJM Demand Response Study	DLC on A/C	0.8	Not Provided	Not Provided
LBL PJM Demand Response Study	DLC on Water Heaters	0.12-0.84	Not Provided	Not Provided
Bonneville Power Administration DR Study	PCT on Elec. Heat and DLC on Water Heater	1.65	Not Provided	Not Provided
Bonneville Power Administration DR Study	DLC on A/C	0.65	Not Provided	Not Provided
Bonneville Power Administration DR Study	DLC on Water Heaters	0.26	Not Provided	Not Provided
East Kentucky Power Cooperative IRP	ETS systems	5.7	Not Provided	-114
East Kentucky Power Cooperative IRP	DLC on Water Heaters	0.31	Not Provided	Not Provided
East Kentucky Power Cooperative IRP	DLC on A/C and Water Heater	1.29	Not Provided	Not Provided
Anaheim Fire Station Ice Bear Pilot Study	Ice Storage	7	Not Provided	~0

## Minnesota Utility Demand Response Data

Two large Minnesota utilities, one investor-owned and one cooperative, provided system load data for a total of 29 demand response events. The IOU data (8 events) was from 2007, while the G&T Co-op data (21 events) was from 2011. These data show both measured system load and the load reduction produced by the demand response compared to a regression-based predicted baseline load (developed by each utility). These data include hourly demand for all 24 hours of each event day. The data were aggregated into one typical system load for each utility by averaging the system load each hour across all of the days with demand response events. For confidentiality, these hourly profiles have been withheld from this report.

The average for each hour is simply the mean value for each of the columns in its row. The G&T Co-op's data involves 21 events, and are not shown here for brevity. To determine the system level snapback and demand reduction, an average megawatts saved (or increased, for snapback) per hour value was determined for each hour. Using the hourly load relief data provided by the utilities along with a control history, it was discovered that the average demand response event lasts between 7 and 8 hours. For the IOU, the events centered around the time period of 11 am to 7 pm, with some starting later or ending earlier, but all falling within this time period. This time period was selected to develop the aggregate load reduction profile for the IOU. The G&T Co-op's events occurred at a later time, 3 pm to 10 pm. The hourly load relief data (Table 5) for the IOU shows the amount of load relief (or snapback) for each hour of the day during a demand response event day. A matching table for the G&T Co-op can be found in the appendix (Table 24).

TABLE 5 - HOURLY LOAD RELIEF FOR THE IOU

Hour	Hourly Load Relief (MW)						Control Period	
	6/26/2007	7/24/2007	7/25/2007	7/26/2007	7/30/2007	7/31/2007	8/1/2007	9/5/2007
1	0	0	0	0	0	0	0	0
2	0	0	0	0	0	0	0	0
3	0	0	0	0	0	0	0	0
4	0	0	0	0	0	0	0	0
5	0	0	0	0	0	0	0	0
6	0	0	0	0	0	0	0	0
7	0	0	0	0	0	0	0	0
8	2	10	11	18	-8	-9	2	15
9	-8	-7	-9	23	7	-14	9	16
10	-17	3	-14	5	16	5	-5	-8
11	11	-17	-5	10	-7	74	5	-15
12	82	-23	23	94	-25	185	44	2
13	220	16	101	277	-20	380	151	9
14	358	74	292	489	13	561	333	132
15	467	186	413	642	133	690	416	263
16	609	230	462	741	172	663	402	351
17	596	225	443	551	167	637	389	342
18	375	151	417	247	109	441	284	278
19	197	66	271	202	4	209	115	82
20	56	-12	93	91	-14	29	14	0
21	19	-18	5	105	-21	-25	9	10
22	38	15	-36	30	1	-15	4	21
23	8	13	-19	19	-12	-21	-16	-12
24	9	-19	-26	17	-26	-24	-19	-16

Table 6 shows the modified version of this data after irrelevant pre-event hours and post-event hours that show no snapback (since the goal was to characterize events with snapback effects) are removed for each event day. This data was then used to produce an average megawatt saved per hour for the time period of 11 am to 7 pm. The resulting profile shows the aggregated load reduction and snapback per event for each hour. This profile was then applied to the average system load profile for each utility to develop the deemed savings per demand response event. The next section of this report details the process of determining the deemed savings from these profiles.

It should be noted here that the G&T Co-op's data was noticeably different from the IOU's data. Snapback effects were only apparent for one hour after each event and there was much more variability in the start and end times of each event. The pre-event and event load relief values were aggregated as before, but the snapback effects were calculated by averaging the snapback for each event day, and then this average snapback value was applied to the hour immediately after the aggregated demand response event schedule (3 pm to 10 pm). This data can be found in the appendix in Table 25.

TABLE 6 – IOU LOAD RELIEF (ADJUSTED)

Hour	Load Relief (MW)								Time Period
	6/26/2007	7/24/2007	7/25/2007	7/26/2007	7/30/2007	7/31/2007	8/1/2007	9/5/2007	
1	0	0	0	0	0	0	0	0	Pre-Event
2	0	0	0	0	0	0	0	0	Pre-Event
3	0	0	0	0	0	0	0	0	Pre-Event
4	0	0	0	0	0	0	0	0	Pre-Event
5	0	0	0	0	0	0	0	0	Pre-Event
6	0	0	0	0	0	0	0	0	Pre-Event
7	0	0	0	0	0	0	0	0	Pre-Event
8	0	0	0	0	0	0	0	0	Pre-Event
9	0	0	0	0	0	0	0	0	Pre-Event
10	0	0	0	0	0	0	0	0	Pre-Event
11	11	0	0	10	0	74	0	0	DR Event
12	82	0	23	94	0	185	44	0	DR Event
13	220	16	101	277	0	380	151	9	DR Event
14	358	74	292	489	13	561	333	132	DR Event
15	467	186	413	642	133	690	416	263	DR Event
16	609	230	462	741	172	663	402	351	DR Event
17	596	225	443	551	167	637	389	342	DR Event
18	375	151	417	247	109	441	284	278	DR Event
19	197	66	271	202	0	209	115	0	DR Event
20	0	-12	0	0	-14	0	0	0	Snapback
21	0	-18	0	0	-21	-25	0	0	Snapback
22	0	0	-36	0	0	-15	0	0	Snapback
23	0	0	-19	0	-12	-21	-16	-12	Snapback
24	0	-19	-26	0	-26	-24	-19	-16	Snapback

Information on generator heat rates and efficiencies and generation types and capacities were collected using the U.S. Energy Information Agency's reports [20] [21] [22] [23] [24]. This information was used to determine the source energy saved during a demand response event by loading the generators up with the system load at each hour, starting with the base load generators and ending with the peak generators (gas turbines). Each utility has a unique mixture of generator types and heat rates and a resulting difference in source energy efficiency. These efficiencies were applied to the delivered energy saved or consumed during and after the aggregate demand response event to determine the source energy impacts of each event. Transmission and distribution losses were included as well and were based off of each utility's publicly filed transmission and distribution loss factors for residential and non-residential customers. These loss factors are shown in Table 7 for each utility. The final row of the table is the weighted average of the residential and non-residential values, based on the proportion of the total demand reduction capacity participating in the utility's demand reduction program for each customer type. For example, according to the U.S. EIA [20] [21], the IOU had 923 MW of program participation in 2007, with 372 MW from residential customers. This proportion was used to weight the transmission and distribution loss factors.

TABLE 7 - TRANSMISSION AND DISTRIBUTION LOSSES

Transmission and Distribution Loss Factors					
		IOU (2007 values)		G&T Co-op (2011 values)	
		kW	kWh	kW	kWh
Residential		8%	8%	4.7%	4.7%
Non-Residential		6%	6%	4.7%	4.7%
Weighted Avg		6.8%	6.8%	4.7%	4.7%

## Aggregate Demand Response Analysis

The data provided by the utilities were used to develop a deemed savings for each demand response event for each utility. The two utilities were kept separate because the two utilities differ due to differences in their generation mix (which affects source savings), customer mix (commercial and industrial customers produce the largest load relief), the number of customers enrolled in the demand response program, and other factors, the same reasons cited in the CEC study and in the executive summary. The average demand and snapback were calculated for each hour of the day for the provided event days for each utility. Table 8 shows the IOU and the G&T Co-op typical daily load curves on peak days and the adjusted load curves for demand response and snapback. These profiles were developed as described in the previous section.



TABLE 8 - SYSTEM LOAD PROFILES

Hour	IOU System Loads			G&T Co-op System Loads		
	Typical Profile (Baseline),in MW	Actual Profile (DR), in MW	Load Reduction (MW)	Typical Profile (Baseline),in MW	Actual Profile (DR), in MW	Load Reduction (MW)
1	6058	6058	0	1528	1528	0
2	5735	5735	0	1370	1370	0
3	5498	5498	0	1283	1283	0
4	5382	5382	0	1238	1238	0
5	5415	5415	0	1235	1235	0
6	5717	5717	0	1297	1297	0
7	6231	6231	0	1430	1430	0
8	6810	6810	0	1560	1560	0
9	7308	7308	0	1662	1662	0
10	7723	7723	0	1752	1752	0
11	8135	8135	0	1832	1832	0
12	8457	8404	53	2006	1902	105
13	8666	8522	144	2075	1933	142
14	8863	8582	282	2114	1950	164
15	8978	8577	401	2061	1956	105
16	8977	8523	454	2105	1962	142
17	8933	8515	419	2139	1975	164
18	8767	8480	288	2186	2001	184
19	8557	8425	133	2180	1999	181
20	8259	8262	-3	2128	1963	165
21	8054	8062	-8	2080	1979	100
22	7881	7887	-6	2036	1982	54
23	7276	7286	-10	1842	1855	-13
24	6608	6624	-16	1712	1712	0

To determine the peak generator MW savings for each demand response event, the peak load reduction for each utility was divided by the last generator efficiency. The last generator efficiency was computed by collecting heat rate (fuel efficiency) data from the Energy Information Administration [23] [24] on the generators for each utility and averaging the heat rates for each type of generator: small coal, medium coal, large coal, nuclear, gas turbine, refuse, gas turbine combined cycle, wind, and grid purchased. The average heat rate for each generator type was then converted to an efficiency (%) by taking the conversion factor 3,413 BTU/kWh and dividing by the heat rate (in BTU/kWh).

$$Efficiency = \frac{3,412 \text{ BTU/kWh}}{\text{Generator Heat Rate} \left[ \frac{\text{BTU input}}{\text{kWh output}} \right]}$$

As anticipated, the gas turbine “peaking” plants had the lowest average thermal efficiency. As the least efficient generators, these were assumed to be the last generators to come online. Therefore, any peak demand savings resulting from demand response should be applied to the gas turbine capacity first, since they would be the first generators to be taken offline in a demand response event, as they are the most expensive to operate based on fuel efficiency. Therefore, demand savings at the source up to the total gas turbine capacity were calculated based on the average efficiency of each utility’s gas turbine generators.

The source energy per demand event was computed by taking the peak load relief in MW, accounting for transmission and distribution losses and the average gas turbine generator efficiency, applying unit conversions, and using a one-hour time step. The final value was produced in MMBTU/demand response event hour, where MMBTU is one million BTU (British thermal units) of source energy. The average number of hours per event was determined based on the event history provided by each utility. The IOU had an average of 7.625 hours/event, while the G&T Co-op had an average of 7.33 hours. From this information, a gross source energy savings per demand response event (in MMBTU) was computed by multiplying the MMBTU/hour by the average number of hours per event.

$$\text{Source Energy} \left[ \frac{\text{MMBTU}}{h} \right] = \frac{\text{Peak Load Relief [MW]}}{(1 - \text{Trans. \& Dist. Losses (\%)}) \times (\text{GT Gen. Eff.})} \times \frac{3,412,000 \text{ [BTU]}}{1 \text{ [MWh]}} \times \frac{1 \text{ [MMBTU]}}{1000000 \text{ [BTU]}}$$

The net source energy savings per event included the effects of snapback and subtracted the snapback source energy increase from the gross source energy savings.

$$\begin{aligned} \text{Net Source Energy Savings [MMBTU]} \\ &= \text{Gross Source Energy Savings [MMBTU]} \\ &\quad - \text{Snapback Source Energy Increase [MMBTU]} \end{aligned}$$

The snapback energy increase was determined in a similar fashion as the gross savings. For each hour of snapback after the aggregate demand response event for each utility, the snapback MW from the load profile was multiplied by 1 hour, converted to BTU, and then divided by the last generator efficiency and transmission and distribution losses, before being converted to MMBTU. The net MMBTU source energy savings per demand response event was then computed by subtracting the sum of the source energy increase for each snapback hour from the gross savings value computed earlier.

$$\text{Snapback Source Energy Increase} = \sum_{h=1}^{\text{End of Snapback}} \text{Source Energy Increase Per Hour}$$

In order to make this value more universal, the MMBTU source energy savings were also divided by the MW participating in the demand response program to determine an MMBTU/MW participating deemed savings value.

$$\frac{\text{MMBTU}}{\text{MW}} = \frac{\text{Net Source Energy Savings [MMBTU]}}{\text{Controlled Load Participating in Program [MW]}}$$

An alternative presentation of the deemed savings per event was calculated to provide another tool for utilities to evaluate the impacts of demand response events. This value, the MWh saved/MW participating, provides a measure of the customer energy saved in relation to the

load relief capacity participating in the demand response program. To compute this value, the customer MWh/h saved in the peak hour of the demand response was multiplied by the average length of the event, then the sum of the snapback energy increase (in MWh) after the event was subtracted, and finally, this value was divided by the total MW participating in the demand response program for each utility. This value can then be used by utilities moving forward to calculate the net energy impacts of demand response events. Since this value is based on the MW participating in the program, it can be scaled for each utility based on the program participation. All of the deemed savings values can be seen in Table 16 in the Results section.

$$\frac{MWh}{MW} = \frac{Cust. Energy Saved [MWh/h] \times Avg. Event Length [h] - Snapback Energy [MWh]}{Customer Load Participating in the Program [MW]}$$

# Demand Response Energy Modeling

## Residential Model Assumptions

To better evaluate the demand response impacts on residential buildings, an energy model was created in BEopt [25] and EnergyPlus [14] energy modeling software to simulate demand response events and monitor the impacts on an hourly basis before, during, and after the event. The parameters of the model were developed by using typical existing housing information from a previous Minnesota Department of Commerce study [26] on geothermal heating and cooling and from U.S. Census data [27] for the Minneapolis/St.Paul metropolitan area. An existing small house, existing large house, and an existing median (median Census Twin Cities house) house were used. Table 9 shows the characteristics from the previous geothermal study and those used in this study. Because NREL's BEopt software only allows a discrete set of input values, the values closest to those from the previous geothermal study were selected. The large house model used four bedrooms and three bathrooms. The small house model used two bedrooms and two bathrooms. The median house used three bedrooms and two bathrooms.

TABLE 9 - RESIDENTIAL MODELING PARAMETERS

	<i>Small Residential - Geothermal</i>	<i>Large Residential - Geothermal</i>	<i>Small Residential - BEopt</i>	<i>Large Residential - BEopt</i>	<i>Median Residential - BEopt</i>
<b>Building Size (sq. ft.)</b>	1,216	2,520	1,230	2,520	2,169
<b>Number of floors</b>	1	2	1	2	2
<b>Aspect Ratio</b>	1.20	1.60	1.20	1.60	1.60
<b>Floor to Floor Height (ft)</b>	10	10	10	10	10
<b>Plenum Height (ft)</b>	N/A	N/A	N/A	N/A	N/A
<b>Zones per Floor</b>	1	1	1	1	1
<b>Perimeter Zone Depth (ft)</b>	N/A	N/A	N/A	N/A	N/A
<b>Glazing Fraction</b>	0.11	0.11	0.15	0.15	0.15
<b>Occupancy (ft<sup>2</sup>/person)</b>	405	504	N/A	N/A	N/A
<b>Ventilation (cfm/person)</b>	0.00	0.00	0	0	0
<b>Lighting (W/ft<sup>2</sup>)</b>	0.7	0.7	Benchmark	Benchmark	Benchmark
<b>Plug Loads (W/ft<sup>2</sup>)</b>	1.1	1.1	Benchmark	Benchmark	Benchmark
<b>Construction Type</b>	Wood Frame	Wood Frame	Wood Frame	Wood Frame	Wood Frame
<b>Roof Insulation R-Value</b>	25	25	25	25	25
<b>Wall Insulation R-Value (ASHRAE Zone 6)</b>	11	11	11	11	11
<b>Glazing SHGC (ASHRAE Zone 6)</b>	0.73	0.73	0.73	0.73	0.73
<b>Glazing SHGC (North) (ASHRAE Zone 6)</b>	0.73	0.73	0.73	0.73	0.73
<b>Glazing U-Value</b>	1.1	1.1	1.1	1.1	1.1
<b>Glazing U-Value (North)</b>	1.1	1.1	1.1	1.1	1.1
<b>Infiltration</b>	0.9	0.9	0.9	0.9	0.9
<b>System Type</b>	Split	Split	Split	Split	Split
<b>Fan Control</b>	CV	CV	CV	CV	CV
<b>Baseline Cooling Type</b>	DX	DX	DX	DX	DX
<b>Baseline Heating Type</b>	F	F	F	F	F
<b>Baseline Cooling EER</b>	13 SEER	13 SEER	13 SEER	13 SEER	13 SEER
<b>Baseline Heating Eff</b>	80%	80%	80%	80%	80%

The BEopt software was selected because it is designed to model residential buildings and makes it easy to generate input files for EnergyPlus, which is the software that performs the necessary calculations based on the detailed input information from BEopt. A demand response event was simulated on July 15 and the air conditioning was cycled every 15 minutes during the event, which lasted for 7 hours, from 1 pm to 8 pm. A domestic water heater demand response event was also simulated on these homes on both winter (January 28) and summer peak days. The winter demand event occurred from 4 pm to 7 pm. TMY3 (typical meteorological year, third collection) weather data was used in all of the simulations using the designated cities for each climate zone (Minneapolis, Saint Cloud, Duluth). The summer event schedule was selected based on the data provided by the two utilities in this study, which

showed that 1 pm to 8 pm was the most common control period. The winter event schedule was selected based on the fact that the IOU triggers events on winter afternoons and the G&T Co-op's website shows that their winter loads peak in the late afternoon and early evening hours. Although there are a variety of control methods, 50% cycling of air conditioners was used in this model because it is the most commonly used scheme in Minnesota. Load curtailment during the event was used for domestic water heaters, since that is the most common form of control for those systems, according to the websites of both of the utilities. July 15 was selected as the summer peak day because the TMY3 weather data showed that the outdoor air temperature was near the annual peak and the following day had a nearly identical temperature profile in order to properly evaluate snapback effects that may linger into the next day after a demand response event. January 28<sup>th</sup> was selected for the winter event (except in Minneapolis; see footnote) because it was a typical winter day in the TMY3 weather data and the following day's temperature profile was very similar.

The BEopt and EnergyPlus software was used to size the heating and air conditioning systems for each example home. When a parameter was not defined in the geothermal study, the default value from BEopt was used, as NREL (National Renewable Energy Laboratory) developed the default values to represent a typical U.S. home. The following assumptions were made in developing the model: neighboring houses (one to the east and one to the west) were identical in size and shape and spaced 20 feet apart, the home is oriented north, the heating set point is 68°F, the cooling set point is 76°F, the humidity set point is 60%, miscellaneous gas, hot water, and electrical loads are set at the Building America Benchmark [28] values, natural ventilation is also set to the benchmark value, the building slab is uninsulated, the floor is 20% exposed, the drywall is ½-inch, the windows occupy 15% of the wall area, interior shading values from the Building America Benchmark, two-foot eaves, spot ventilation only, standard efficiency electric appliances (including a top-freezer refrigerator), Building America Benchmark lighting, typical, uninsulated ducts, Building America Benchmark ceiling fans, uninsulated copper hot water piping, and no dehumidifier.

In addition to water heating and air conditioning, electric heating cycling was also modeled on the example houses. The electric resistance furnace of the example houses was cycled in 15-minute increments during the winter demand event from 1 pm to 8 pm on January 28<sup>th</sup>, except for the Minneapolis climate (zone 3), which, due to weather file issues<sup>3</sup>, was simulated on January 7<sup>th</sup> for this technology and for water heating. This is one method of reducing heating energy consumption in homes with electric heat. Another method that was analyzed in this report, although it could not be integrated into BEopt due to technical limitations, was electric thermal storage (ETS). A whole-house ETS system was sized and modeled for the median example home in this report.

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<sup>3</sup> January 28<sup>th</sup> in the Minneapolis TMY3 data contained temperatures well below the design temperature for Minneapolis, while the other two climates had temperatures above their design temperatures on that day. This made it difficult to draw conclusions comparing the three climate zones and produced results that did not accurately reflect the differences in the three climates. Therefore, January 7<sup>th</sup> was selected for Minneapolis as a suitable replacement since it had a similar daily load profile at more typical temperatures with a following day (January 8<sup>th</sup>) with a similar load profile.

## Small Commercial Ice Storage Model Assumptions

A small commercial building model was developed to determine the effects of thermal storage (ice storage) on cooling and fan energy and demand. Just as with the residential models, the existing small commercial building model from the previous geothermal study [26] was used as a basis for the model in this study. Table 10 compares the geothermal study small commercial building with the models (three different sizes) used in this study. The three different building sizes were selected carefully in order to model the desired rooftop unit cooling capacities. The modeled ice storage units are only available in 5-ton sizes, so each building size needed to require cooling in a multiple of 5 tons. Therefore, the 2,000 square foot building could be served by one 5-ton unit, the 4,000 square foot building could be served by two units (10 tons) and the 8,000 square foot building could be served by four units (20 tons).

eQuest was selected to perform the energy modeling for this technology because it is a more capable software for commercial building modeling than BEopt and is more user-friendly than EnergyPlus. Where values from the geothermal study were not available or undefined, the eQuest defaults were used based on a “two-story office” building type (the buildings were actually modeled as one-story, however) and eQuest’s auto-sizing of the supply fan and cooling equipment was disabled to allow for consistent equipment sizes for all schedules. The building operation hours were varied for each building size to account for the effects of building schedule on the cooling loads, equipment runtimes, and energy use. Four different schedules were modeled. These schedules are shown in Table 11. These schedules were selected because they represent common small commercial building uses: a simple office schedule, an extended office schedule, a retail schedule, and a 24-hour facility. The eQuest model runs were used to determine the baseline cooling and fan energy use, when no ice storage is in use. eQuest does not permit modeling ice storage for packaged single zone air conditioning units, so a spreadsheet model was created to model the proposed system operation with ice storage. Only Minneapolis weather data was used in these simulations.

TABLE 10 - SMALL COMMERCIAL BUILDING MODELS

	<i>Small Office - Geothermal Study</i>	<i>2000 SF Office</i>	<i>4000 SF Office</i>	<i>8000 SF Office</i>
<b>Building Size (sq. ft.)</b>	13,000	2,000	4,000	8,000
<b>Number of floors</b>	1	1	1.0	1
<b>Aspect Ratio</b>	1.2	1.2	1.2	1.2
<b>Floor to Floor Height (ft)</b>	13	13	13	13
<b>Plenum Height (ft)</b>	4	4	4	4
<b>Zones per Floor</b>	5	1	1	1
<b>Perimeter Zone Depth (ft)</b>	15	N/A	N/A	N/A
<b>Glazing Fraction</b>	0.41	0.41	0.41	0.41
<b>Occupancy (ft<sup>2</sup>/person)</b>	275	275	275	275
<b>Ventilation (cfm/person)</b>	17	17	17	17
<b>Lighting (W/ft<sup>2</sup>)</b>	1.57	1.57	1.57	1.6
<b>Plug Loads (W/ft<sup>2</sup>)</b>	1.3	1.3	1.3	1.3
<b>Construction Type</b>	Steel Frame	Steel Frame	Steel Frame	Steel Frame
<b>Roof Insulation R-Value</b>	8.8	9	9	9
<b>Wall Insulation R-Value (ASHRAE Zone 6)</b>	2.1	2.0	2.0	2.0
<b>Glazing SHGC (ASHRAE Zone 6)</b>	0.52	0.52	0.52	0.52
<b>Glazing U-Value</b>	0.9	0.9	0.9	0.9
<b>Glazing U-Value (North)</b>	0.9	0.9	0.9	0.9
<b>Infiltration</b>	0.5	0.5	0.5	0.5
<b>System Type</b>	PVAV	PSZ	PSZ	PSZ
<b>Fan Control</b>	VAV	CV	CV	CV
<b>Baseline Cooling Type</b>	DX	DX	DX	DX
<b>Baseline Heating Type</b>	F	F	F	F
<b>Baseline Cooling EER</b>	9.8	9.8	9.8	9.8
<b>Baseline Heating Eff</b>	80%	80%	80%	80%
<b>DHW efficiency</b>	0.62	0.62	0.62	0.62
<b>Fan Power</b>	1.7 hp/1000 CFM	Auto-sized	Auto-sized	Auto-sized

TABLE 11 - SMALL COMMERCIAL BUILDING SCHEDULES

<u>Schedule Name</u>	<u>Days of the Week</u>			
	<b>M-F</b>	<b>Sat.</b>	<b>Sun.</b>	<b>Holidays</b>
Simple Office	8 am - 5 pm	Closed	Closed	Closed
Extended Office	8 am - 8 pm	8 am - 3 pm	Closed	Closed
Retail	8 am - 9 pm	8 am - 9 pm	10 am - 6 pm	Closed
24 hour	12 am - 12 am	12 am - 12 am	12 am - 12 am	12 am - 12 am

Ice Energy produces the Ice Bear 30, which is an ice storage system designed for packaged rooftop cooling units. It stores 30 ton-hours [17] of cooling energy, which is enough to replace a 5-ton rooftop unit for six hours. Each unit has its own compressor and can freeze up to 480 gallons of water. When the additional evaporator coil is installed in the rooftop unit to transfer



heat between the existing RTU and the Ice Bear unit, additional static pressure is placed on the existing supply fan. This increases the energy consumption of the supply fan. This is counteracted to some degree by the increased energy efficiency of the Ice Bear's compressor operating at cooler night time conditions over the rooftop unit's compressor operating in hot afternoon hours. This effect is tempered by the fact that the suction temperature required to make ice (often around 25°F) is much lower than required for space cooling (45°F), so the compressor lift and energy required is almost unchanged.

Table 12 and Table 13 show performance data from Ice Energy about the Ice Bear 30 units. The data from Table 13 was used to determine the minimum, maximum, and average static pressure increases caused by the addition of the ice storage evaporator coil. The three static pressure penalties were applied to each building model to demonstrate the range of energy and demand impacts related to these values. The data from Table 12 was used to develop performance curves of the Ice Bear units. These figures (Figure 1 and Figure 2) establish curve fits to data from the table and show the relationships between the Ice Bear's average power (kW) and the outdoor air temperature and between the unit's charging time (in hours), thermal storage (in ton-hours), and outdoor air temperature. Specifically, Figure 1 shows the relationship between power and outdoor air temperature, while Figure 2 shows the relationship between charging time per ton-hour of storage and outdoor air temperature. The charging time per ton-hour of storage is the slope of a linear fit of ice charge time and thermal storage data at various temperatures.

TABLE 12 - ICE MAKE CAPACITY & POWER AT AMBIENT TEMPERATURE FOR ICE BEAR 30

		Outdoor Temperature (°F)									
		55°		65°		75°		85°		95°	
		Capacity Stored (T-hrs)	Energy Consumed (kW-hr)	Capacity Stored (T-hrs)	Energy Consumed (kW-hr)	Capacity Stored (T-hrs)	Energy Consumed (kW-hr)	Capacity Stored (T-hrs)	Energy Consumed (kW-hr)	Capacity Stored (T-hrs)	Energy Consumed (kW-hr)
Time (hrs)	1	3.61	2.88	3.49	3.19	3.33	3.53	3.08	3.96	2.80	4.40
	2	7.15	5.72	6.76	6.33	6.47	7.00	6.00	7.84	5.46	8.70
	3	10.61	8.54	10.01	9.46	9.56	10.47	8.88	11.70	8.07	12.99
	4	14.03	11.35	13.22	12.59	12.62	13.94	11.71	15.55	10.66	17.27
	5	17.4	14.16	16.41	15.71	15.64	17.41	14.52	19.40	13.23	21.55
	6	20.77	16.97	19.59	18.83	18.66	20.87	17.32	23.24	15.79	25.83
	7	24.12	19.77	22.74	21.94	21.66	24.32	20.10	27.08	18.35	30.10
	8	27.46	22.57	25.89	25.04	24.64	27.78	22.88	30.92	20.89	34.37
	9	30.75	25.36	29.01	28.13	27.60	31.24	25.63	34.76	23.43	38.63
	10	31.57	26.06	31.57	30.71	30.52	34.70	28.38	38.59	25.95	42.89
	11					31.57	35.95	31.09	42.42	28.46	47.15
	12							31.57	43.11	30.94	51.40
	13									31.57	52.50
Actual Make Time (hrs)		9.24		9.84		10.36		11.17		12.25	
Typical Demand (kW)		2.82		3.12		3.47		3.86		4.28	

TABLE 13 - PERFORMANCE SUMMARY FOR ICE-READY ROOFTOP UNITS

Ice-Coil P/N	Unit		Tested Configuration with Ice Bear 30 Unit	Heat Transfer Capacity at 75° F		Additional Static Pressure Required at 400 scfm/ton (in. H <sub>2</sub> O)
	RTU Model	Description		Ice-Coil (Btu/hr)	Ice + DX (Btu/hr)	
1861 (CA)	48TFF006	5-ton SE Carrier R-22 Gas Pack	Displaced 5T with ice storage	71,500	N/A	0.28
1861 (CA)	48TCEA06	5-ton HE Carrier R-410A Gas Pack	Displaced 5T with ice storage	60,800	N/A	0.3
2203 (CJ)	48PGDC06	5-ton UHE Carrier R-410A Gas Pack	Displaced 5T with ice storage	59,900	N/A	0.28
2501 (CK)	50HJQ006	5-ton HE Carrier R-22 Heat Pump	Displaced 5T with ice storage	61,000	N/A	0.15
2364 (TA)	WSC060E	5-ton SE Trane R-410A Heat Pump	Displaced 5T with ice storage	63,500	N/A	0.1
2364 (TE)	YSC092A	7.5-ton SE Trane R-22 Gas Pack	Displaced 4.5T with ice storage & DX	N/A	100,200	0.15
2205 (CE)	48PGD012	10-ton UHE Carrier R-410A Gas Pack	Displaced 5T with ice storage & DX	67,500	126,100	0.1
2527 (LA)	LGA120H4B	10-ton HE Lennox R-410A Gas Pack	Displaced 10T with dual Ice Bear 30 units	120,400	N/A	0.1
			Displaced 5T with ice storage & DX	58,600	127,200	0.1
2578 (YA)	ZH120N15N2	10-ton SE York R-410A Gas Pack	Displaced 10T with dual Ice Bear 30 units	120,300	N/A	0.15
			Displaced 5T with ice storage & DX	N/A	139,400	0.15
2463 (CF)	48TMF012	10-ton SE Carrier R-22 Gas Pack	Displaced 10T with dual Ice Bear 30 units	129,600	N/A	0.26
			Displaced 5T with ice storage & DX	70,000	135,100	0.26

FIGURE 1 - AVERAGE CHARGING POWER VERSUS OUTDOOR AIR TEMPERATURE

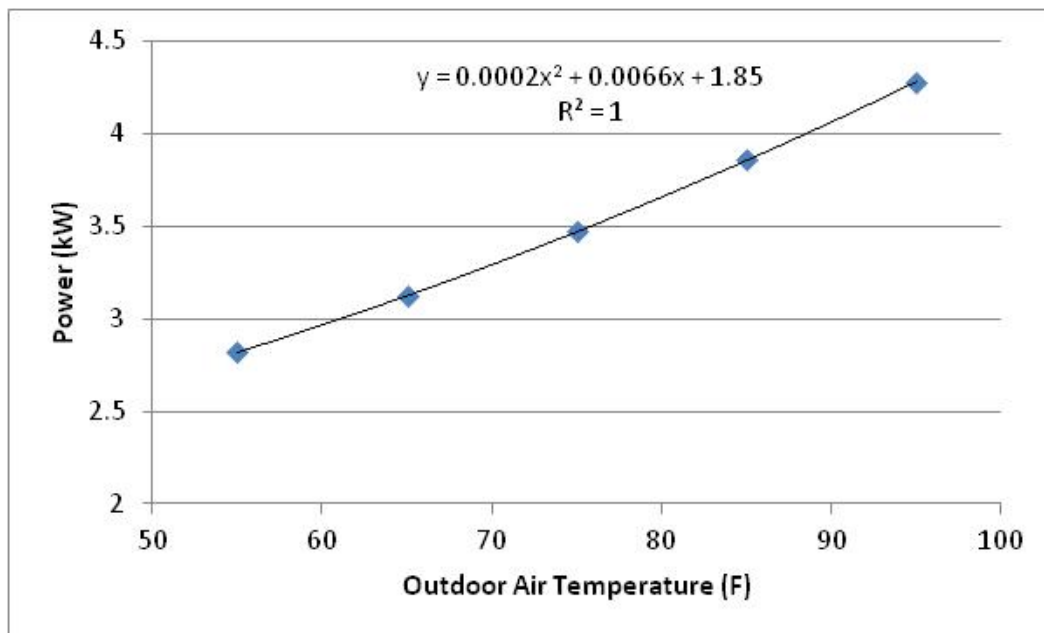
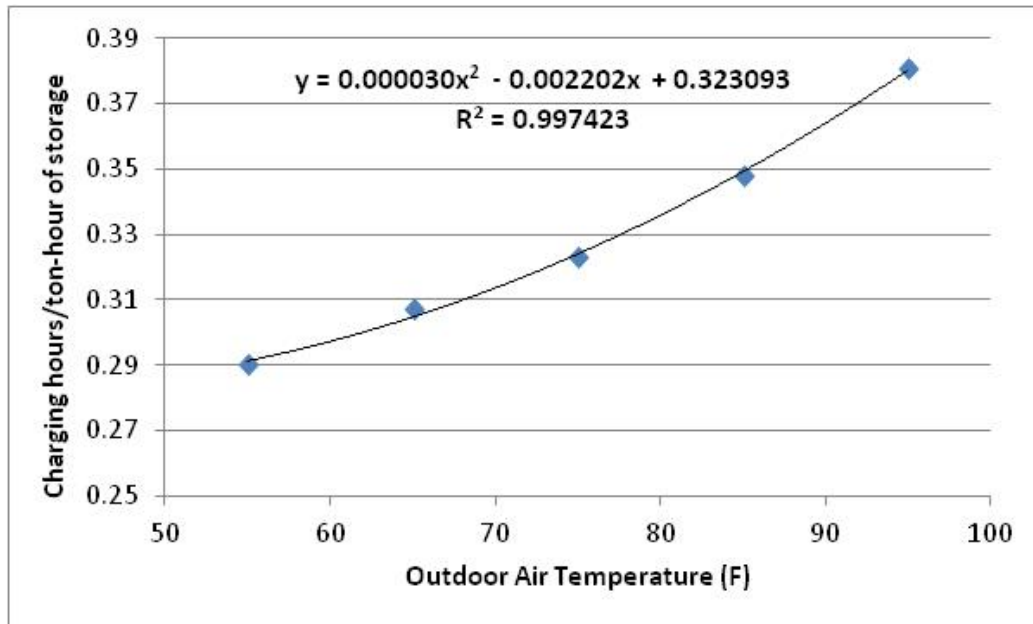


FIGURE 2 - CHARGING HOURS REQUIRED PER TON-HOUR OF STORAGE VERSUS OUTDOOR AIR TEMPERATURE



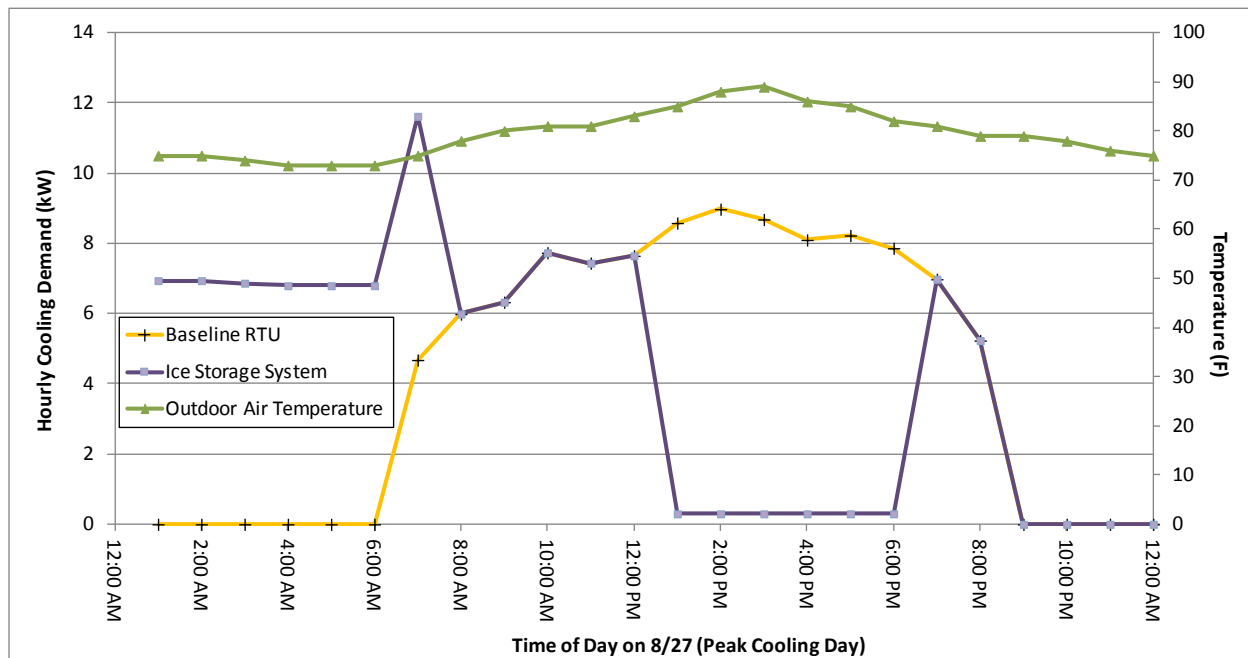
These relationships were utilized when the ice storage units were in charging mode, which was set to occur as needed from 12 am to 12 pm to meet afternoon cooling loads occurring from 1 pm to 7 pm. The charging time was calculated to determine the length of time needed to store enough thermal energy to meet that day's afternoon cooling loads completely. While the ice storage unit was charging, all cooling loads were assigned to the existing rooftop unit, using the values from the baseline energy model. The baseline values were also used for all hours between charging and discharging.

Table 14 shows a typical charging and discharging schedule for a non-peak summer day. This schedule changes as the daily cooling load varies from day to day, since the charge time varies. During discharge, the spreadsheet model assumes that only the small refrigerant circulation pump (300 watts) and the supply fan are operating. The discharge period runs from 1 pm to 7 pm everyday, as needed to meet cooling loads. The fan energy and run time from the baseline eQuest energy model is used for the proposed condition, except that the additional static pressure from the ice storage evaporator is accounted for by increasing the brake horsepower of the fan according to a typical fan performance curve [29]. As described above, three different static pressures were modeled, corresponding to the range of static pressure increases seen in Ice Energy's performance testing. Figure 3 shows a typical daily load and temperature profile for a baseline rooftop unit and an ice storage system. This data is for a peak summer day for a 4,000 square-foot building with an extended office schedule. The ice storage load curve includes the RTU compressor load in off-peak hours. The ice storage system load peaks at about 7 am, when both the ice storage unit's compressor and the RTU's compressor are running simultaneously until the ice storage unit shuts off at 8 am.

TABLE 14 - ICE STORAGE CHARGING SCHEDULE

Hour	System State
1:00 AM	charging
2:00 AM	charging
3:00 AM	charging
4:00 AM	charging
5:00 AM	charging
6:00 AM	charging
7:00 AM	charging
8:00 AM	RTU
9:00 AM	RTU
10:00 AM	RTU
11:00 AM	RTU
12:00 PM	RTU
1:00 PM	discharging
2:00 PM	discharging
3:00 PM	discharging
4:00 PM	discharging
5:00 PM	discharging
6:00 PM	discharging
7:00 PM	RTU
8:00 PM	RTU
9:00 PM	RTU
10:00 PM	RTU
11:00 PM	RTU
12:00 AM	RTU

FIGURE 3 - DAILY LOAD PROFILE FOR SMALL COMMERCIAL BUILDING



## Residential Electric Thermal Storage Model Assumptions

Electric thermal storage systems were modeled by replicating information from ASHRAE [11] and from an ETS manufacturer [30] and by utilizing the results from the residential energy modeling described above. The electric heating cycling model was used to determine the peak electric heating demand for the median home. The manufacturer information provided the peak charging demand for each ETS unit sized to meet the daytime heating loads of the median house. The ETS units each have small circulation fans that consume a small amount of power, but the existing electric furnace also has a circulation fan, so this effect is minimized. The modeling results show no appreciable increase in fan energy with the ETS.

The demand impact during peak hours was computed as the entire electric heating demand (fan energy was excluded) during those hours when the ETS units would be operating. In other words, during peak hours the proposed ETS system uses no power for heating (only for circulation) whereas the existing furnace uses a maximum of 26 kW during these hours on the coldest day of the year, which was January 30th in the Minneapolis simulations (the date and peak heating load varies by location). This results in 26 kW of peak demand savings with an ETS system in Minneapolis.

In order to store enough thermal energy overnight for the next day's peak heating loads, several ETS units are needed, since room units are commonly used and they can only handle the loads in a single room of a house. The "warm room" concept [31] is often used, which involves placing one or more ETS units in a single room of a house, often a living room, and then relying on circulation and conduction to transfer this heat to the other rooms. This keeps the other rooms warmer than they would be with no heat source, but cooler than most would

find comfortable. This makes the most sense when the home will be unoccupied during the day or a single room will be occupied primarily. Due to the modeling complexities of the warm room concept, it could not be modeled for this study. Instead, a whole house concept where ETS units are distributed throughout the home to maintain the same daytime temperature in all spaces (68°F) was used. This method ensures that there will be no snapback after the ETS units have fully discharged their heat and the furnace re-assumes control of the house.

Charging times were determined primarily by utility program guidelines. The IOU uses a charging time period of 10 pm to 6:30 am in its off-peak heating program. Any electric heating occurring outside of this window incurs a significant cost penalty. Therefore, the ETS system was modeled such that all charging occurs during this time window and the ETS units are discharging during the remaining hours of the day. The ASHRAE model of room ETS units [11], shown in Figure 4, demonstrates how each unit's heat output decreases over time during discharging. These curves come from previous work by Hersh et al. [32] and the four curves represent different discharge modes (static, two different mixtures of static and dynamic, and dynamic). To ensure that sufficient heat is provided to meet the home's heating loads at the end of the discharging period, the unit must be over-sized. ASHRAE recommends sizing each unit according to Figure 5, based on available charging time. This sizing multiplier is used to size the unit by multiplying it by the peak heating demand. This determines the size of the unit or units required, in kW.

FIGURE 4 - TYPICAL STORAGE HEATER PERFORMANCE CHARACTERISTICS

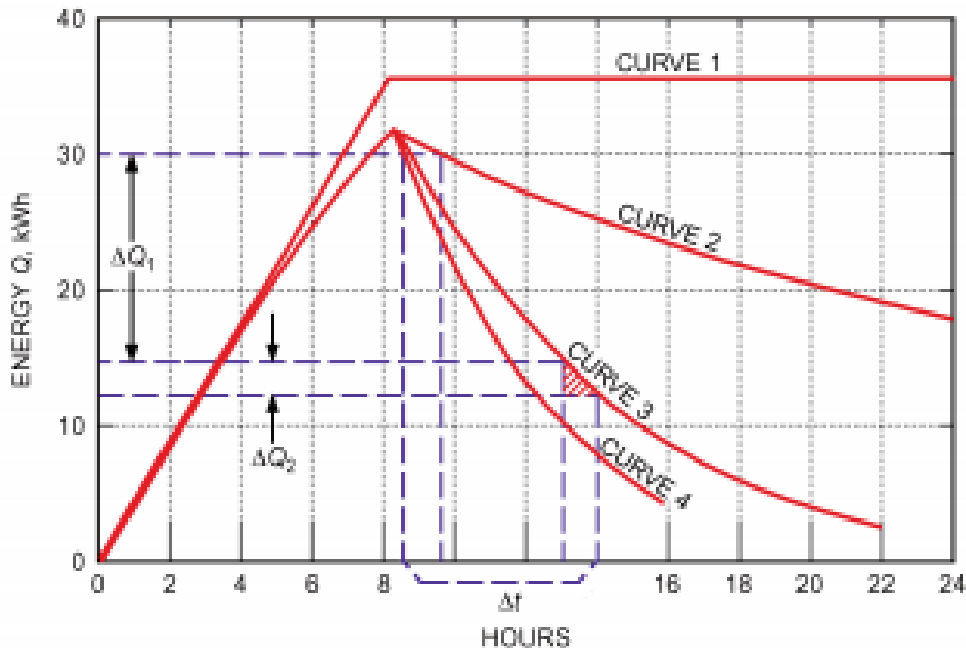
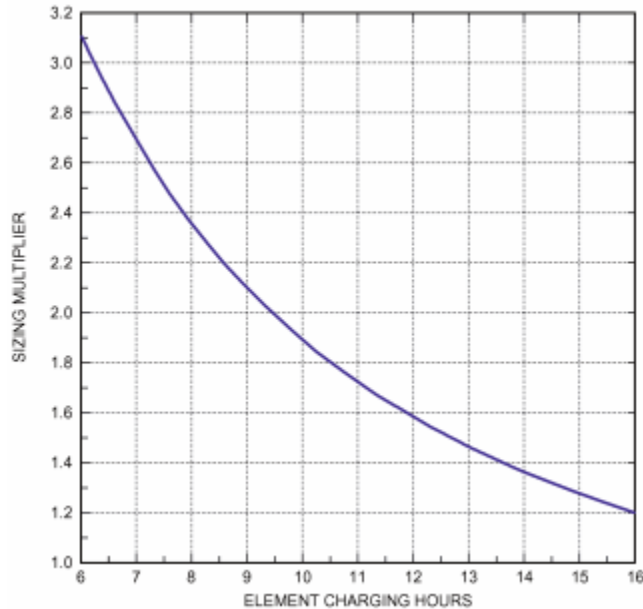


FIGURE 5 - REPRESENTATIVE SIZING FACTOR SELECTION GRAPH FOR RESIDENTIAL STORAGE HEATERS



Using these sizing guidelines, ETS units were selected for the median Twin Cities house. Units capable of 60 kW, combined, were needed for this house. To achieve this output in a commercially-available ETS unit, two Steffes 4130 [30] whole-house ETS units were selected for use in this study. The total daytime (7 am to 10 pm) heating load from the energy model was 298 kWh. The Steffes 4130 units store 180 kWh of thermal energy each, for a total of 360 kWh. Therefore there is some excess capacity, which is desirable. The charging power for each unit is 28.8 kW. These two units would need to charge about 6 hours on January 30th to meet the day's heating loads. After they are charged with enough energy for the day, they enter a "holding" mode while they wait for the peak period to begin. During this holding period, the existing furnace (or baseboard heating) continues to meet the home's loads. The charging profile for this system on January 30th is shown in Table 15. This shows how the off-peak demand increases significantly (by 57.6 kW) while the on-peak demand decreases by about 26 kW. If the utility's winter peak occurs in the late afternoon, the peak demand savings is closer to 19 kW.

TABLE 15 - ETS DEMAND PROFILE FOR MEDIAN HOUSE IN MINNEAPOLIS

Median House				
Date	Time	Demand (kW)		ETS mode
		No ETS	ETS	
1/30	12:00 AM	22.3	79.9	Charging
1/30	1:00 AM	22.7	80.3	Charging
1/30	2:00 AM	23.2	80.8	Charging
1/30	3:00 AM	23.5	81.1	Charging
1/30	4:00 AM	24.2	38.7	Charging
1/30	5:00 AM	24.3	24.3	Holding
1/30	6:00 AM	25.5	25.5	Holding
1/30	7:00 AM	25.8	0.0	Discharging
1/30	8:00 AM	23.0	0.0	Discharging
1/30	9:00 AM	21.1	0.0	Discharging
1/30	10:00 AM	20.8	0.0	Discharging
1/30	11:00 AM	19.2	0.0	Discharging
1/30	12:00 PM	17.6	0.0	Discharging
1/30	1:00 PM	16.1	0.0	Discharging
1/30	2:00 PM	14.7	0.0	Discharging
1/30	3:00 PM	15.1	0.0	Discharging
1/30	4:00 PM	15.9	0.0	Discharging
1/30	5:00 PM	18.0	0.0	Discharging
1/30	6:00 PM	17.8	0.0	Discharging
1/30	7:00 PM	18.3	0.0	Discharging
1/30	8:00 PM	19.2	0.0	Discharging
1/30	9:00 PM	19.8	0.0	Discharging
1/30	10:00 PM	20.3	0.0	Discharging
1/30	11:00 PM	20.4	78.0	Charging

As noted in the “Previous Research on Demand Response Impacts” section, demand savings for ETS installations in Kentucky averaged 5.7 kW, which is a significant reduction from the 26 kW shown here, or even the afternoon (4 pm - 7 pm average) demand savings of 18.3 kW. There are a number of explanations for this discrepancy. First, the analysis shown here assumes that the ETS system will be able to completely meet all of the home’s heating loads during peak (discharging) hours. The homes in the Kentucky study may use ETS units to handle part of the home’s load, while still using other electric heat sources to meet the remaining load. This would reduce the peak demand savings of the ETS. Also, some of the homes in the program may have an alternate heat source (wood, propane, etc.) and use electric heating and ETS only for certain spaces within the home not served by those other heat sources. This too would reduce the demand savings. Finally, Kentucky’s climate is significantly warmer than Minnesota’s and this would lead to smaller heating loads, smaller peak demand for electric heating, and smaller demand savings with ETS. The Kentucky report did not describe their ETS program in enough detail to fully determine the source of the demand savings discrepancy.



# Results

## System-level Impact Results

After analyzing the aggregate utility data and the energy modeling results, deemed savings models for demand response events were developed. Table 16 shows the deemed savings values described in the Aggregate Demand Response Analysis section for the system-level demand response analysis of the two utilities. Note that these values were derived for summer demand response events only. There were no data provided to evaluate winter events. The third and fourth rows (Net Source Savings for Event/MW Control Capacity and Net MWh/MW Load Control Capacity) are the only values that have been normalized by control capacity for application to other utilities.

TABLE 16 - DEEMED SAVINGS FOR SYSTEM-LEVEL DEMAND RESPONSE EVENTS

Description	IOU	G&T Co-op	Units
Load Relief for Event	454	184	MW
Net Source Savings for Event	46,659	19,507	MMBTU
Net Source Savings for Event/MW Control Capacity	50.55	41.5	MMBTU/MW
Net MWh/MW Load Control Capacity	3.7	2.85	MWh/MW
Net Energy Saved	3,417	1,339	MWh

The contrast between the two utilities and their unique customer bases and generation capacity is clear in these deemed savings values. The IOU has more energy savings, both at the customer and at the source on a MWh/MW basis. This is likely due to the inclusion of commercial and industrial loads in the IOU's program because these loads tend not to produce snapback effects, but do produce significant load relief (see Table 19 in the appendix). It is hypothesized here that the commercial and industrial customers do not show snapback effects for two reasons: large commercial and industrial facilities often have backup generation capacity so their operations are not interrupted during a demand response event and small commercial buildings, like offices, may enter an unoccupied mode of operation after a demand response event (which is often several hours after normal business hours), reducing the need for cooling and/or lighting.

These results also show that there is significant energy savings associated with demand response events. Although there are snapback effects that reduce the energy savings, each event saves far more energy than it loses to snapback. The source energy savings, as discussed earlier, are based on the efficiency of gas turbine generators, as it was assumed that these would be the last units to be utilized and the first to be shut off when demand relief occurs. Therefore, each utility can use and customize the deemed savings template provided with this report by entering the following information: their aggregate load profile for a typical demand response day, the load relief values during each event, the snapback values experienced after an event, the average thermal efficiency of the utility's gas turbine generators

(or another type if the utility does not use gas turbines to handle peak loads), the transmission and distribution losses, and the MW of customer participation in the load reduction program. However, the deemed savings values shown in Table 16 here can also be used directly by matching the utility in question with one of the two utilities used in this study (whichever utility is closer in customer base and size) and applying the correct savings values. Only the two normalized savings values (rows three and four in this table) should be used, since the other values are specific to the IOU and the G&T Co-op in the given years.

## Individual Residential Impact Results

In order to better understand what comprises the system energy savings shown in Table 16, energy modeling of individual demand response controls was performed, as described in the previous section. The resulting energy and demand savings and snapback magnitudes for all of the modeled controls are shown in Table 17 for the median house, since that is the house to be used for deemed savings. The median house is an attempt to represent the typical Minnesota home. These modeling results show that four out of five of these demand controls result in net energy savings, despite significant amounts of snapback after the event. Note that the kWh savings values already include snapback and are net energy savings. Table 20 and Table 21 in the appendix show the results for all three home sizes for energy use and demand respectively. Table 22 and Table 23 in the appendix show the results for the median home in the two other Minnesota climate zones.

## Climate Impacts

These other climates show interesting trends worth discussing. As expected, the air conditioner cycling technology showed the greatest savings potential in the warmest climate and the least potential in the coldest climate. Electric heat cycling showed a different trend. As expected, the demand savings did increase as the climate got colder, but the energy savings did not follow the same trend. The energy savings were highest in the warmest climate (zone 3, Minneapolis). This seems to come from a reduced amount of snapback present in the Minneapolis simulations. Therefore, although the energy savings during the event are smaller for Minneapolis than the other two climates, the snapback after the event is also smaller and by a relatively larger amount. The snapback was expected to be smaller, given the higher outdoor temperatures in Minneapolis compared to the other locations.

Domestic water heating is less influenced by climate, so there was little variation between the three climate zones, but in both the winter and summer, the energy and demand savings decreased as the climate got warmer (moving from zone 1 through zone 3). This is likely due to the fact that the water heater would have had to run more frequently during the event to warm the colder city (ground) water in zone 1 than in the other zones. By curtailing the water heater during the event, this excess run time is eliminated. The snapback after the event is higher than in the warmer climates, but not by enough to cancel out the savings gains during the event. Electric thermal storage demand savings showed a similar trend, with savings decreasing as the climate became warmer.

## Thermodynamic Explanation of Results

Air conditioner cycling leads to energy savings by allowing the indoor temperature to drift above where it would have been without a demand response event. In other words, the air conditioner is running less often than it would have run without cycling and, consequently, is not able to maintain the same indoor temperature. When the demand response event is over, the air conditioner does have to run more often than it would have in order to cool the house back down to the desired temperature. However, as most demand response events end in the evening hours when the sun is low on the horizon and outdoor temperatures are declining, the energy needed to return the house to its normal temperature is significantly less than the energy that would have been used to maintain that temperature in the afternoon heat. Thus, the total energy consumed by the air conditioner during and after the event is less than what would have been consumed without cycling, due to a smaller difference in temperature between the outdoor and indoor air after the event than during the event. The thermal mass of the house (insulation, building materials, floors, etc.) also plays a role by slowing down the rate of temperature change in the house during the event, lessening the post-event cooling load.

Electric heat cycling saves energy through similar mechanisms as in the case of the air conditioner cycling. The thermal mass of the house along with solar heat gain for about half of the demand response event (daylight from 1 pm to 5 pm, event ending at 8 pm) help to reduce the temperature decrease of the house during the event. This reduces the amount of snapback and heating required to return the house back up to its desired set point after the event. Ultimately, all of these demand response technologies result in a minor loss of occupant comfort during the event in exchange for decreased demand and energy use. The effects of the heating and cooling cycling are similar to the effects of setting back thermostats in winter and summer months when a space is unoccupied in order to reduce equipment runtime and energy use. Electric heat cycling produces larger energy and demand savings (and snapback) than air conditioner cycling simply because a typical electric heat system has a much larger power draw (20 kW versus 3 kW, for example) than air conditioners.

Domestic water heater curtailment works well as a demand response technology because tank water heaters rely on thermal storage (40-50 gallons of stored hot water) and hot water use in the home is likely to occur outside a typical system peak period (mornings are commonly assumed to have the highest hot water use for showers, sinks, etc.). Therefore, energy and demand savings are achieved by simply not allowing the water heater to fully re-heat its stored water from the morning's use back up to the set point. The existing water stores a significant amount of energy during the demand response event, however, so occupants still have access to hot water, even if it is slightly cooler than in normal operation. Water heater tanks are usually well-insulated, which further adds to their thermal storage capacity. When the event is over, enough energy has been stored in the tank that the heating element does not need to operate much in order to return the tank to the set point. The winter event for the water heaters led to more demand savings than the summer event because the incoming city water was colder in addition to the colder indoor temperature and outdoor temperature in the winter, so the heating element would have been operating at a high power consumption during the

event. The reduced length of the winter event (4 to 7 pm) led to the winter event showing less energy savings than the summer event, despite the higher demand savings in the winter. If the winter event had lasted the same length of time, the colder temperatures would have led to higher energy savings than in the summer. More research would be required to better understand these results.

The electric thermal storage system yields no energy savings or energy penalty since electric resistance heating has the same energy efficiency regardless of the outdoor air conditions, unlike cooling equipment. Also, there is no snapback because space temperatures are maintained throughout the demand response event. There are significant demand savings possible with this technology as well as customer energy cost savings using off-peak energy rates.

## Quantitative Modeling Results

The results in Table 17 are estimates for deemed energy and demand savings for individual homes per demand response event for these five demand controls. It should be noted that the values here for air conditioner cycling and domestic hot water (DHW) curtailment fall within the ranges noted in the “Previous Research on Demand Response Impacts” section, although the cooling savings are on the lower end of these ranges. The cooling discrepancy can likely be explained by Minnesota’s cool climate, since many of the studies come from warmer climates. Additionally, the sizes of homes participating in the study can have a significant impact on the energy and demand savings and may differ from the median home used in this study. As described in the residential model assumptions section, the demand response events were modeled assuming a seven hour duration, except for the winter DHW events, which were modeled using a three hour duration.

TABLE 17 - ENERGY MODELING RESULTS FOR MEDIAN HOUSE IN MINNEAPOLIS (CLIMATE ZONE 3)

Median Twin Cities Metro House (2,169 sq. ft.)				
	Net kWh Savings	kW Savings	Snapback kWh	Snapback Peak kW
A/C Cycling	0.71	0.30	0.72	0.34
Elec. Heat Cycling	3.11	1.42	5.49	1.97
DHW Curtail Summer	0.40	0.60	2.71	2.71
DHW Curtail Winter	0.09	0.84	2.03	2.03
Electric Thermal Storage	0.0	25.8	0.0	0.0

These values (along with those for the other climate zones) could be used as deemed savings values in a statewide technical reference manual, provided that the primary limitations of these values are made clear. Those limitations include the fact that no metered data from actual homes using demand response technologies was collected to validate the models used here, the median home used here may not accurately represent a typical home in every utility’s territory throughout the state, and the energy modeling software has its own assumptions and limitations. These values should not be used whenever metered data from an impact or market potential study in this state is available, as actual use data is preferable. As a starting point for

quantifying the energy and demand impacts of demand response technologies for utilities without their own studies, these values will be useful.

# Individual Small Commercial Ice Storage Impact Results

The small commercial building analysis was completed by computing and tabulating the total cooling and fan energy for both the baseline and proposed systems for the two smaller building sizes for all schedules, while the largest building was only modeled for the simple office schedule to determine the relationship between building size and energy and demand to validate the trends seen in the two smaller buildings. In all cases, there was a net energy increase and a significant peak demand savings. The energy increase ranges from 295 kWh to 2,565 kWh and the demand savings range from 3.9 kW to 18.2 kW. Table 18 in the appendix shows all of the ice storage modeling results.

To determine deemed savings models from these results, regression analysis was performed. The demand savings was found to vary little with the schedule, so the demand savings were plotted with respect to cooling capacity (tons) for all three buildings using the average static pressure penalty of 0.183 inches of water. The resulting linear model is shown in Figure 6. The slope and intercept are shown on the graph, along with the correlation coefficient. The resulting equation can be used to determine the deemed demand savings for these packaged rooftop unit ice storage units based on the cooling capacity of the rooftop units:

$$\text{Demand savings (kW)} = 0.9393 \times \text{tons} - 0.5943$$

The energy increase is dependent on both cooling capacity and hours of operation, so a two-independent-variable regression was performed using data analysis software to determine the best fit model to the data.

FIGURE 6 - PEAK DEMAND SAVINGS VERSUS COOLING CAPACITY

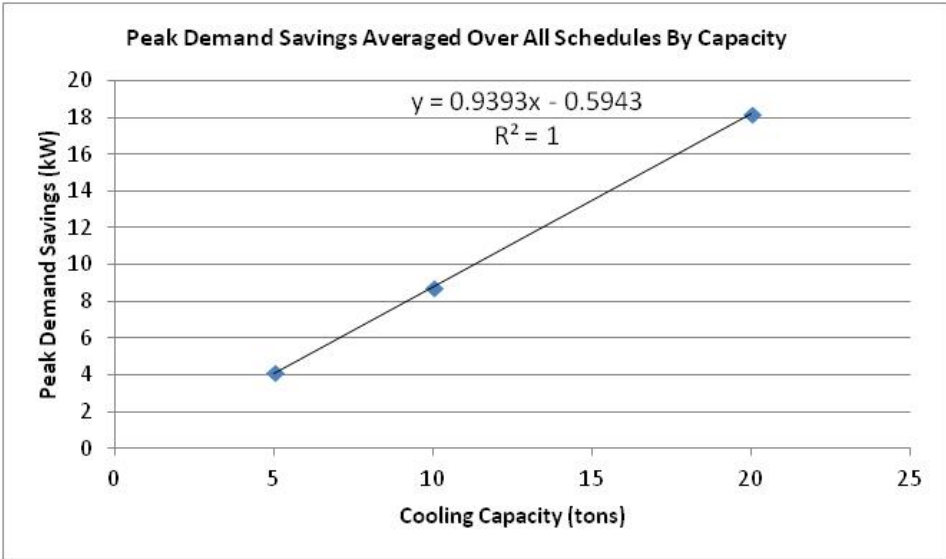


Figure 7 in the appendix shows the three-dimensional graph of the resulting model. The resulting deemed energy increase model is:

$$kWh = -82.454 \times \text{tons} - 1.0166 \times \text{hours of operation per week} - \frac{58,368}{\text{tons}^4}$$

This equation computes the energy increase in kWh that a facility would experience over the course of a year by installing the Ice Bear system. The resulting value is negative because it is treated as negative energy savings over baseline, since the proposed case uses more energy than the baseline. In order to determine deemed demand and energy savings for small commercial buildings with packaged, single-zone, constant volume air-conditioning units, the cooling capacity and building hours of operation should be collected and input into the energy and demand models. It should be noted that these models do not produce meaningful results below five tons of cooling capacity, as they were not fit to data in that range.

The savings algorithms presented in this section are only to be used in technical reference manuals or deemed savings databases as a last resort, if no other data is available. This is because the simulations used here rely on assumptions, which are not universal. First, only buildings that could be characterized as "office" or "retail" should be considered using these algorithms because that is how the modeling was performed. Second, only buildings in the limited cooling capacity range presented here (5-20 tons) should be considered since no simulations were performed outside that range. Below five tons, the curve fits are not reliable. Above 20 tons, the values are reasonable, but there is not enough statistical basis to encourage use of these curve fits well beyond 20 tons. Furthermore, there was not billed energy use or metered data used to calibrate and validate the models used in this analysis, so any studies using such data would be more accurate and reliable than this one. This study is a starting point, as studies on rooftop ice storage units are scarce, but is at the level of accuracy of impact evaluation or market potential studies.

## Conclusions

Demand response offers utilities two benefits: reduced peak generation load and, according to this report, net energy savings. Regardless of the type of demand response, there is net energy savings, with the exceptions of electric thermal storage, which is energy neutral, and ice storage, which results in increased energy use. Each utility will see a similar load profile on load control days with a nearly flat profile during the afternoon peak when the load is being controlled and a sharp increase immediately after the event, followed by a decrease down to nighttime minimum loads. The size and impact of the snapback after an event will depend on the types of customers served by the utility. The larger the portion of a utility's customers that are residential, the larger the snapback after an event will be. It is important to keep in mind that even 100%-residential utilities will see a net energy savings with demand response, but the savings will be less than utilities with more commercial and industrial customers.

Demand response events produce both end-user and source energy savings. This report analyzed actual utility system-wide load profiles to determine large-scale impacts of demand response. Two different utility types were analyzed to provide a more comprehensive view. Utilities or other parties wishing to utilize the results of this study should compare their particular utility and its customer base to the two studied here, and use the normalized (by the system control capacity, in MW) deemed savings values that correspond to the utility that best matches their own. If the utility is a cooperative and/or serves more rural areas with primarily light industrial, commercial, and a large portion of residential customers, then the G&T Co-op's system-wide deemed savings values from Table 16 should be used. If the utility is a larger utility that serves a suburban and/or urban area with a large portion of commercial and industrial customers (in terms of demand), then the IOU's values in Table 14 should be used.

To evaluate the energy and demand impacts of individual demand response technologies and control methods, this study modeled a typical Minnesota home and small business using energy modeling software, and analyzed the energy impacts of these demand controls: air conditioner cycling, electric heat cycling, domestic water heater curtailment, ice storage, and electric thermal storage. The analysis developed deemed savings values that utilities and other parties can use to quantify the energy and demand impacts of each of these technologies. Since these deemed values are based on typical buildings, they will over-estimate savings for some and under-estimate the savings on others, but, on average, they should be reasonable. Although the residential results presented above are for the median-sized home in Minneapolis only, results for the small and large homes are available in the appendix, along with results for the median home in two other climates. These results, combined with the results for the median home in Minneapolis, produce a trend that shows that energy savings, demand savings, and snapback all correlate directly with home size. Larger homes produce larger values of savings and snapback.

Ice storage is a viable demand response strategy for small commercial customers with rooftop units. It offers significant peak demand savings at the expense of increased off-peak energy consumption.



Because peak energy is much more expensive than off-peak energy, this trade-off is favorable. Ice storage, like electric thermal storage, maintains a constant space temperature in the building, so an added bonus is that there is no snapback after a demand response event. Ice storage will flatten a facility's load profile on summer days. Based on the small number of manufacturers currently offering ice storage for packaged rooftop units, it appears that there is significant opportunity for utilities to install ice storage systems in their customers' buildings as a controllable load resource that is reliable and does not impact occupant comfort, unlike cycling or set back controls. This represents an emerging potential for demand reduction, as long as the energy penalty is understood. It should be noted that costs and return-on-investment were not considered in this study.

Demand response is an important aspect of any utility's demand-side management plan as it offers a cost-effective way to minimize peak demand, reducing the need for additional spinning generation capacity. Most demand response involves snapback after the event, when demand sharply rises for a short time as customers return to normal operation. This snapback cannot be easily eliminated, but its existence should not be a significant concern for utilities, since the net impact of each demand response event is energy and demand savings. All of the methods of control modeled in this study are viable and worth studying. Many of them are tailored to specific customer types or equipment, so their applications are sometimes limited. In spite of that, their combined demand savings potential, along with their not insignificant energy savings potential, make them an important resource for every utility.

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# Appendix

TABLE 18 - ICE STORAGE MODELING RESULTS, STATIC PRESSURE (SP) IN INCHES OF WATER

Building Description	SP Increase	kWh Savings	kW Savings
4000 sq ft 10-ton PSZ RTU 8am-5pm M-F fan cycling at min OA during unocc, 6 deg set up (76,82)	0.1	-482	9.1
	0.183	-889	9.1
	0.26	-1297	9.0
4000 sq ft 10-ton PSZ RTU 8am-8pm M-F, 8 am to 3 pm Sat fan cycling at min OA during unocc, 6 deg set up (76,82)	0.1	-454	8.6
	0.183	-893	8.6
	0.26	-1332	8.5
4000 sq ft 10-ton PSZ RTU 8am-9pm M-Sat, 10 am to 6 pm Sun fan cycling at min OA during unocc, 6 deg set up (76,82) (retail schedule)	0.1	-442	8.6
	0.183	-913	8.6
	0.26	-1384	8.5
4000 sq ft 10-ton PSZ RTU 24/7 operation (including holidays)	0.1	-421	9.0
	0.183	-1010	9.0
	0.26	-1599	8.9
2000 sq ft 5-ton PSZ RTU 8am-5pm M-F fan cycling at min OA during unocc, 6 deg set up (76,82)	0.1	-340	4.2
	0.183	-573	4.1
	0.26	-854	4.1
2000 sq ft 5-ton PSZ RTU 8am-8pm M-F, 8 am to 3 pm Sat fan cycling at min OA during unocc, 6 deg set up (76,82)	0.1	-295	4.2
	0.183	-554	4.1
	0.26	-864	4.1
2000 sq ft 5-ton PSZ RTU 8am-9pm M-Sat, 10 am to 6 pm Sun fan cycling at min OA during unocc, 6 deg set up (76,82) (retail schedule)	0.1	-333	4.0
	0.183	-599	3.9
	0.26	-919	3.9
2000 sq ft 5-ton PSZ RTU 24/7 operation (including holidays)	0.1	-344	4.3
	0.183	-671	4.2
	0.26	-1062	4.2
8000 sq ft 20-ton PSZ RTU 8 am to 5 pm M-F, fan cycling at min OA during unocc, 6 deg set up (76,82)	0.1	-814	18.2
	0.183	-1690	18.2
	0.26	-2566	18.2

FIGURE 7 - SURFACE FIT OF COOLING CAPACITY, SCHEDULE, AND ENERGY SAVINGS

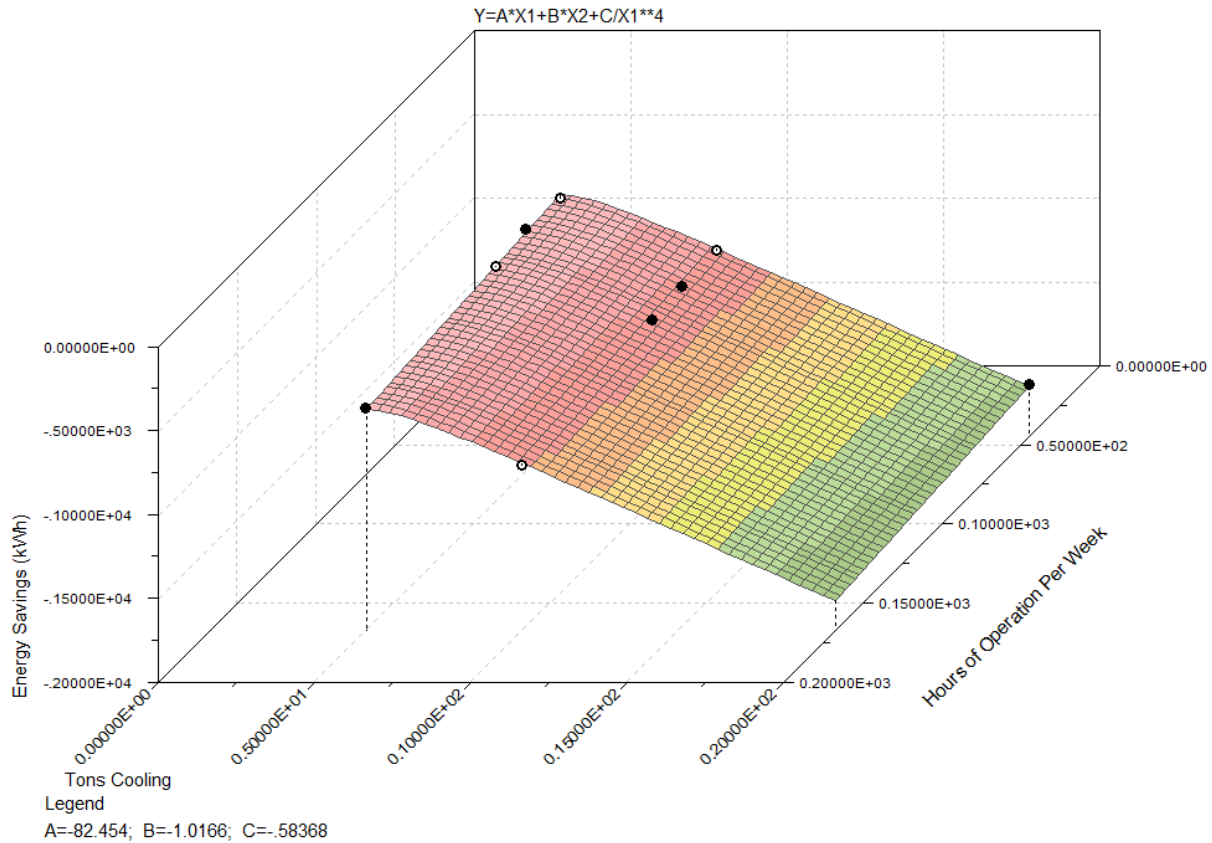


TABLE 19 – IOU DEMAND RESPONSE EVENTS BY CUSTOMER TYPE

**Demand Response Events for IOU in 2007 by Customer Segment**

Segment	6/26/2007	7/24/2007	7/25/2007	7/26/2007	7/30/2007	7/31/2007	8/1/2007	9/5/2007	Totals
C&I MWh	1854.4	657.6	1657.6	2224.0	469.1	2828.6	1694.0	1176.7	12562
C&I Snapback MWh	0.0	0.0	0.0	0.0	-39.2	0.0	0.0	0.0	-39.2
C&I % Snapback	0%	0%	0%	0%	-8%	0%	0%	0%	0%
Res MWh	276.7	149.3	288.2	266.6	39.8	233.6	0.0	134.8	1389
Res Snapback MWh	-80.5	-28.9	-57.6	-52.6	-17.7	-51.9	0.0	-60.1	-349.3
Res % Snapback	-29%	-19%	-20%	-20%	-44%	-22%	0%	-45%	-25%

TABLE 20 - RESIDENTIAL MODELING RESULTS – ENERGY (MINNEAPOLIS, ZONE 3)

	Small House				Large House				Median House			
	kWh no DR	kWh DR	Net kWh Savings	Snapback kWh	kWh no DR	kWh DR	Net kWh Savings	Snapback kWh	kWh no DR	kWh DR	Net kWh Savings	Snapback kWh
A/C Cycling	8.0	7.6	0.4	0.2	16.5	15.9	0.7	0.8	13.8	13.1	0.7	0.7
Elec. Heat Cycling	115.6	114.1	1.5	3.7	182.8	179.3	3.5	6.4	149.0	145.9	3.1	5.5
DHW Curtailment Summer	3.1	2.7	0.4	2.3	4.2	3.9	0.4	3.2	4.1	3.7	0.4	2.7
DHW Curtailment Winter	3.2	3.1	0.1	1.7	4.4	4.3	0.1	2.4	3.8	3.7	0.1	2.0

TABLE 21 - RESIDENTIAL MODELING RESULTS – DEMAND (MINNEAPOLIS, ZONE 3)

	Small House		Large House		Median House	
	Peak kW Savings	Peak Snapback kW	Peak kW Savings	Peak Snapback kW	Peak kW Savings	Peak Snapback kW
A/C Cycling	0.15	0.12	0.33	0.39	0.30	0.34
Elec. Heat Cycling	0.92	1.22	1.89	2.30	1.42	1.97
DHW Curtailment Summer	0.51	2.26	0.70	3.23	0.60	2.71
DHW Curtailment Winter	0.71	1.70	0.98	2.38	0.84	2.03

TABLE 22 - RESIDENTIAL MODELING RESULTS FOR MEDIAN HOUSE IN SAINT CLOUD (ZONE 2)

	Median House (2,169 sq. ft.)			
	Net kWh Savings	kW Savings	Snapback kWh	Snapback Peak kW
A/C Cycling	0.65	0.23	0.99	0.53
Elec. Heat Cycling	1.62	1.27	7.25	2.55
DHW Curtail Summer	0.43	0.49	3.02	3.01
DHW Curtail Winter	0.11	0.76	2.15	2.15
Electric Thermal Storage	0.0	26.3	0.0	0.0

TABLE 23 - RESIDENTIAL MODELING RESULTS FOR MEDIAN HOUSE IN DULUTH (ZONE 1)

	Median House (2,169 sq. ft.)			
	Net kWh Savings	kW Savings	Snapback kWh	Snapback Peak kW
A/C Cycling	0.01	0.07	0.59	0.29
Elec. Heat Cycling	2.54	2.00	11.47	4.35
DHW Curtail Summer	0.58	0.54	3.21	3.21
DHW Curtail Winter	0.12	0.76	2.17	2.17
Electric Thermal Storage	0.0	26.9	0.0	0.0

TABLE 24 - HOURLY LOAD RELIEF FOR THE G&T CO-OP FOR A SAMPLING OF SEVEN OF THEIR 21 DR EVENTS

Hour	...	Hourly Load Relief (MW)					Control Period		...
		6/30/2011	7/1/2011	7/10/2011	7/16/2011	7/17/2011	7/18/2011	7/19/2011	
1	...	0	0	0	0	0	0	0	...
2	...	0	0	0	0	0	0	0	...
3	...	0	0	0	0	0	0	0	...
4	...	0	0	0	0	0	0	0	...
5	...	0	0	0	0	0	0	0	...
6	...	0	0	0	0	0	0	0	...
7	...	0	0	0	0	0	0	0	...
8	...	0	0	0	0	0	0	0	...
9	...	0	0	0	0	0	0	0	...
10	...	0	0	0	0	0	0	0	...
11	...	0	0	0	0	0	0	0	...
12	...	0	0	0	0	0	0	0	...
13	...	0	0	0	0	0	0	0	...
14	...	0	58	0	0	0	170	145	...
15	...	28	180	0	0	0	250	180	...
16	...	112	180	0	0	0	300	180	...
17	...	160	180	21	21	58	335	295	...
18	...	160	180	30	30	180	365	345	...
19	...	160	125	30	30	180	365	345	...
20	...	160	46	30	30	180	350	345	...
21	...	47	-8	-15	-15	180	255	185	...
22	...	16	0	0	0	100	130	150	...
23	...	-20	0	0	0	-21	10	-26	...
24	...	0	0	0	0	0	0	0	...



TABLE 25 - G&T CO-OP LOAD RELIEF (ADJUSTED) FOR SELECTED DR EVENT DAYS

Hour	...	Load Relief (MW)							...	Time Period
		6/30/2011	7/1/2011	7/10/2011	7/16/2011	7/17/2011	7/18/2011	7/19/2011		
1	...	0	0	0	0	0	0	0	...	Pre-Event
2	...	0	0	0	0	0	0	0	...	Pre-Event
3	...	0	0	0	0	0	0	0	...	Pre-Event
4	...	0	0	0	0	0	0	0	...	Pre-Event
5	...	0	0	0	0	0	0	0	...	Pre-Event
6	...	0	0	0	0	0	0	0	...	Pre-Event
7	...	0	0	0	0	0	0	0	...	Pre-Event
8	...	0	0	0	0	0	0	0	...	Pre-Event
9	...	0	0	0	0	0	0	0	...	Pre-Event
10	...	0	0	0	0	0	0	0	...	Pre-Event
11	...	0	0	0	0	0	0	0	...	DR Event
12	...	0	0	0	0	0	0	0	...	DR Event
13	...	0	0	0	0	0	0	0	...	DR Event
14	...	0	58	0	0	0	170	145	...	DR Event
15	...	28	180	0	0	0	250	180	...	DR Event
16	...	112	180	0	0	0	300	180	...	DR Event
17	...	160	180	21	21	58	335	295	...	DR Event
18	...	160	180	30	30	180	365	345	...	DR Event
19	...	160	125	30	30	180	365	345	...	DR Event
20	...	160	46	30	30	180	350	345	...	DR Event
21	...	47	-8	-15	-15	180	255	185	...	DR Event
22	...	16	0	0	0	100	130	150	...	DR Event
23	...	-20	0	0	0	-21	10	-26	...	Snapback
24	...	0	0	0	0	0	0	0	...	Snapback